

## **REMARKS**

In view of the above amendments and the following remarks, reconsideration of the rejections and further examination are requested. Upon entry of this amendment, the specification is amended, claim 1 is amended, claims 29 and 30 are added and claims 5-19 and 23-28 are canceled, leaving claims 1-4, 20-22, 29 and 30 pending with claim 1 being independent. No new matter has been added.

### ***Rejections Under 35 U.S.C. §103(a)***

Claim 1 has been rejected under 35 U.S.C. § 103(a) as being unpatentable over Takeshima (U.S. Pat. 4,940,523) in view of Goedicke et al. (U.S. Pat. 5,470,388) and Kume et al. (U.S. Pat. 6,024,915).

This rejection is respectfully traversed. Applicants submit that independent claim 1 is allowable over this combination of prior art. Specifically, claim 1 recites, among other things, a polygonal barrel sputtering device comprising a vacuum container for containing fine particles which has a polygonal internal shape on a cross section substantially parallel with a gravitational direction and a rotating mechanism for rotating said vacuum container about a rotating axis which is substantially perpendicular to the cross section.

In the device recited claim 1, since the vacuum container is rotated about a rotating axis which is substantially perpendicular to a cross section substantially parallel with a gravitational direction (i.e., substantially horizontal direction), the fine particles are rolled and stirred. The polygonal internal shape of the vacuum container enables the fine particles contained therein to fall periodically by gravity. This can improve stirring efficiency remarkably. (*See* present application specification page 4, lines 13-25).

The cited combination fails to render such a device obvious. In particular, as recognized by the Examiner, Takeshima fails to disclose or render obvious a vacuum container which has a polygonal internal shape on a cross section substantially parallel with a gravitational direction. For this element, the Examiner relies on Goedicke. Specifically, the Examiner cites “the sawtooth configuration” (i.e., directed notches 13) shown in Fig. 2 of Goedicke as teaching a polygonal internal shape.

However, Applicants submit that one of ordinary skill in the art would not combine Takeshima with the teachings of Geodicke to arrive at this element of claim 1. First, Applicants submit that there is no reasoning in the prior art to combine these references. The Examiner suggests that one of ordinary skill in the art would combine these references since, as stated in Geodicke, it would allow for emptying a drum during rotation. However, Applicants submit that such reasoning is applicable to Geodicke's chute 11 and emptying device 12, not for the directed notches. The directed notches 13 are not related to emptying the drum. In fact, the directed notches would likely make the drum more difficult to empty during rotation, since the directed notches 13 are designed to enable parts 3 to be "fixed to the surface of the drum 1." See Col. 5, lines 11-15. Therefore, the Examiner has failed to provide a reason why one of ordinary skill in the art would have combined these references to render claim 1 obvious.

Second, one of ordinary skill in the art would not combine Takeshima with the teachings of Geodicke to arrive at the claimed invention, since Geodicke uses high speed rotation and Takeshima uses low speed rotation. As specifically discussed in Geodicke, the Geodicke device is designed to mass produce small parts products, such as screws and bolts. Such products are productively coated by vacuum deposition device or sputtering device having a drum rotatable at high speed about its horizontal axis and in which the parts to be coated are fixed to the inner wall by centrifugal force (See Geodicke Abstract). Takeshima specifically states that the heated powder is placed in a rotating barrel sputtering chamber, forming a fluidized bed at a low speed. See Takeshima Col. 2, lines 31-34. In other words, Geodicke teaches high speed rotation to fix parts 3 to an inner wall and Takeshima discloses low speed rotation to form a fluidized bed. Therefore, one of ordinary skill in the art would not have found it obvious to combine these references.

In fact, Takeshima teaches away from using high speed rotation, since high speed rotation would render Takeshima inoperative for its intended purposes. As stated in col. 3, lines 35-40 of Takeshima, the "barrel sputtering chamber is rotated at 0.1 to 10 r.p.m. When the rotation speed is in excess of 10 r.p.m., it is hard to form a dense film, since the coating material deposits in the form of fine powder." Thus, Takeshima states that low speed rotation (i.e., 0.1 to 10 r.p.m.) is the functional operational speed, and that speeds above these low speeds are

undesirable, since such high speeds render it difficult to form a dense film. Therefore, one of ordinary skill in the art would understand that to add the directed notches 13 of Geodicke that are used to fix parts 3 to the inner wall of the drum during high speed rotation would render Takeshima inoperative for its intended purpose (i.e., forming a fluidized bed).

Applicants submit that, since one of ordinary skill in the art would not combine Geodicke with Takeshima, and Kume fails to overcome the deficiencies of these references, independent claim 1 and its dependent claims are allowable over the cited prior art.

Claim 2 has been rejected under 35 U.S.C. § 103(a) as being unpatentable over Takeshima in view of Goedicke et al. and Kume et al., as applied to claim 1 above, and further in view of Kobayashi et al. (Japan 2000-109969).

Claims 3 and 20 have been rejected under 35 U.S.C. § 103(a) as being unpatentable over Takeshima in view of Goedicke et al. and Kume et al., as applied to claim 1 above, and further in view of Burger et al. (U.S. Pat. 6,220,203).

Claims 4, 21 and 22 have been rejected under 35 U.S.C. § 103(a) as being unpatentable over Takeshima in view of Goedicke et al. and Kume et al., as applied to claim 1 above, and further in view of Makowiecki et al. (U.S. Pat. 6,149,785).

Since each of these claims is dependent from claim 1 and these cited references fail to overcome the deficiencies of Takeshima, Goedicke and Kume, Applicants submit that each of these claims is allowable for the reasons discussed above.

### ***New Claims 29 and 30***

New claims 29 and 30 are dependent from claim 1 and are therefore allowable for the reasons set forth above. Moreover, each of these claims recite additional subject matter that further distinguish them over the cited prior art. For example, claim 29 recites that the vacuum container has a hexagonal internal shape when viewed in cross section and the hexagonal internal shape is configured to enable the fine particles to fall periodically by gravity when the rotating mechanism rotates the vacuum container, and claim 30 recites that the vacuum container is configured with the polygonal internal shape in such a manner that, upon rotation of the vacuum

container, the fine particles contained therein fall periodically by gravity. Even assuming that the proposed references could be combined, such a combination would not render these claims obvious. In particular, as stated above, the Geodicke directed notches 13 specifically fix the parts 3 to the inner wall of the drum and are not configured to enable fine particles to fall periodically by gravity, as recited in claims 29 and 30.

Therefore, for at least these reasons, Applicants submit that dependent claims 29 and 30 are allowable over the cited prior art.

In view of the foregoing amendments and remarks, all of the claims now pending in this application are believed to be in condition for allowance. Reconsideration and favorable action are respectfully solicited.

Should the Examiner believe there are any remaining issues that must be resolved before this application can be allowed, it is respectfully requested that the Examiner contact the undersigned by telephone in order to resolve such issues.

Respectfully submitted,

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POLYGONAL BARREL SPUTTERING DEVICE, POLYGONAL BARREL  
SPUTTERING METHOD, COATED FINE PARTICLE FORMED BY THE  
DEVICE AND METHOD, MICRO CAPSULE, AND METHOD OF  
PRODUCING THE MICRO CAPSULE

5

#### Field of the Invention

The present invention relates to a polygonal barrel  
sputtering device and a polygonal barrel sputtering  
method for coating surfaces of fine particles with  
10 ultra-fine particles having a grain diameter smaller  
than the particles or thin films, a coated fine particle  
formed by the device and method, a micro capsule and a  
method for producing the micro capsule.

#### 15 Background of the Invention

Fine particles are an attractive material in the  
fundamental field and the application field so as to be  
applied to various uses. For example, ~~fineness of fine~~  
particles ~~to are~~ used to form cosmetic foundation. And,  
20 ferrite fine particles which form a single magnetic  
domain are used ~~to in~~ a magnetic material with which a  
magnetic tape is coated. In addition, fine particles  
characterized by a large specific surface area are used  
to produce particle catalysts. As just described, fine  
25 particles are a material having a very large potential.  
Consequently, a technology for developing a new  
material ~~has been required~~ is needed in which surfaces of

fine particles are modified with a functional material so as to demonstrate high functionality and new ~~feature~~features.

As an example of such technology, the ferrite  
5 particles coated with Co films for the purpose of increasing coercive force of the ferrite fine particles have been developed. However, substantial progress on development of such technology for modifying the powder surfaces has not been seen because of difficulties in  
10 handling of particles and modifying the entire surface of each particle uniformly.

A conventionally used method for modifying powder surfaces includes a substitution electroplating method, an electrolytic plating method, a chemical vapor  
15 deposition method and a vacuum deposition method. However, the substitution electroplating method and the electrolytic plating method may cause damage ~~ento~~ to the environment because it is necessary to treat discharged highly toxic waste fluid. And, the chemical vapor  
20 deposition method has complicated processes corresponding to ~~kind~~types of materials, ~~whereby~~ in which operating conditions are restricted. In addition, in the vacuum deposition method, it is difficult to uniformly coat powder surfaces with thin films  
25 ~~uniformly~~. Thus, such conventionally used methods for modifying powder surfaces cannot form a designed powder material.

SUMMARY OF THE INVENTION

In order to solve the above-mentioned problems, an object of the present invention is to provide a polygonal barrel sputtering device and a polygonal barrel sputtering method, which does not require treating waste fluid like a plating method and does not cause damage ~~on~~to the environment, coated fine particles formed by the device and method, a micro capsule and a method for producing the micro capsule.

Disclosure of the Invention

In order to solve the problems, the inventors have ~~paid attention to~~focused on a sputtering method, which is ~~one of a~~ physical vapor deposition ~~method~~method. Although it is difficult to uniformly coat an entire surface of each particle with fine particles ~~uniformly~~ in the sputtering method, the sputtering method has very high versatility, such as the following: any carrier can be used; surfaces of particles can be modified with various substances including metal and inorganic material; and further, environmental damage is low. Consequentially, the inventors developed a polygonal barrel sputtering method, in which a polygonal barrel containing fine particles is rotated so that the particles can be stirred or rolled so as to be uniformly modified at the surfaces thereof ~~uniformly~~.

Hereinafter, the present invention will be described in detail.

A polygonal barrel sputtering device according to the present invention comprises a vacuum container for  
5 containing fine particles which has a polygonal internal shape on a cross section substantially parallel with a gravitational direction, a rotating mechanism for rotating the vacuum container about a rotating axis which is substantially perpendicular to the cross  
10 section, and a sputtering target arranged in the vacuum container, wherein sputtering is preformed while stirring or rolling the fine particles in the vacuum container by rotating the vacuum container using the rotating mechanism so that ~~surface~~surfaces of the fine  
15 ~~particle~~particles will be coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~particles or thin films.

In the polygonal barrel sputtering device, since the vacuum container is rotated about a rotating axis  
20 which is substantially perpendicular to a cross section substantially parallel with a gravitational direction (i.e., a substantially horizontal direction), the fine ~~particle itself is~~particles themselves are rolled and stirred. And, the vacuum container having a polygonal  
25 internal shape enables the fine particles contained therein to fall periodically by gravity. This can improve stirring efficiency remarkably and therefore



prevent aggregation of the fine particles owing to moisture and electrostatic force, in which such aggregation often leads to a problem in handling fine particles. In other words, rotating the vacuum container carries out simultaneously stirring fine particles and decomposing aggregated fine particles. Accordingly, it becomes possible that fine particles having a very small grain diameter are coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~ particles or thin films. And, unlike a plating method requiring treatment of waste fluid, the polygonal barrel sputtering device according to the present invention does not damage the environment.

In the present invention, the sputtering device may further comprise a vibrator for vibrating the vacuum container, thereby preventing problematic aggregation of fine particles more effectively.

And, in the present invention, the polygonal barrel sputtering device may further comprise a heater for heating the fine particles contained in the vacuum container. For example, when air is evacuated from the vacuum container, heating the vacuum container using the heater can evaporate and discharge moisture absorbed on the interior surface of the vacuum container or the surfaces of the fine particles contained therein. Accordingly, moisture, which becomes an obstacle to handle fine particles, can be discharged from the vacuum

container so that aggregation of the fine particles can be effectively prevented.

Furthermore, in the present invention, the polygonal barrel sputtering device may further comprise  
5 a rod-like member contained in the vacuum container, wherein the rod-like member vibrates the fine particles so as to promote stirring and rolling the fine particles while the vacuum container is being rotated. The rod-like member also works to decompose aggregated fine  
10 particles. Here, the rod-like member may be various-shaped members, such as a screw, as long as the member provides vibration to the fine particles.

A polygonal barrel sputtering method according to the present invention comprises a step for containing  
15 fine particles in a vacuum container having a polygonal internal shape on a cross section, which is substantially parallel with a gravitational direction, and a step for performing sputtering while stirring or rolling the fine particles contained in the vacuum  
20 container by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, whereby ~~surfaces~~surfaces of the fine ~~particle~~particles are coated with ultra-fine particles having a grain diameter smaller than the fine  
25 ~~particle~~particle or thin films.

In the polygonal barrel sputtering method, since the vacuum container is rotated about a rotating axis

which is substantially perpendicular to a cross section substantially parallel with a gravitational direction (i.e., a substantially horizontal direction), the fine particle can be rolled and stirred. And, the vacuum  
5 container having a polygonal internal shape enables the fine particles to fall periodically by gravity, thereby remarkably improving stirring efficiency ~~remarkably~~ and therefore preventing aggregation of the fine particles owing to moisture and electrostatic force, in which such  
10 aggregation often leads to a problem in handling fine particles. In other words, rotating the vacuum container can carry out simultaneously stirring fine particles and decomposing aggregated fine particles. Accordingly, it becomes possible that fine particles having a very small  
15 grain diameter are coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~particles or thin films. And, unlike a plating method requiring treatment of waste fluid, the environment is not damaged.

20 A polygonal barrel sputtering method according to the present invention comprises a step for containing fine particles in a vacuum container having a polygonal internal shape on a cross section and a step for performing sputtering while stirring or rolling the fine  
25 particles contained in the vacuum container by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, and

also vibrating the fine particles, whereby ~~surfaces~~surfaces of the fine ~~particle~~particles are coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~particles or thin films.

5 A polygonal barrel sputtering method according to the present invention comprises a step for containing fine particles in a vacuum container having a polygonal internal shape on a cross section and a step for performing sputtering while stirring or rolling the fine  
10 particles contained in the vacuum container by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, and also heating the vacuum container, whereby ~~surfaces~~surfaces of the fine ~~particle~~particles are  
15 coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~particles or thin films.

A coated fine particle according to the present invention is formed such that sputtering is performed while stirring or rolling the fine particles contained  
20 in a vacuum container having a polygonal internal shape on a cross section by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, whereby ~~surfaces~~surfaces of the fine ~~particles~~particle are coated  
25 with ultra-fine particles having a grain diameter smaller than the fine ~~particles~~particle or thin films.

~~A coated~~Coated fine ~~particles~~particle according to

the present invention ~~is~~are formed such that sputtering is performed while stirring or rolling the fine particles contained in a vacuum container having a polygonal internal shape on a cross section by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, and also vibrating the fine particles, whereby ~~surfaces~~surfaces of the fine ~~particle is~~ particles are coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~ particles or thin films.

~~A coated~~Coated fine ~~particle~~ particles according to the present invention ~~is~~are formed such that sputtering is performed while heating a vacuum container containing fine particles therein having a polygonal internal shape on a cross section and also stirring or rolling the fine particles contained in the vacuum container by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, whereby ~~surfaces~~surfaces of the fine ~~particle is~~ particles are coated with ultra-fine particles having a grain diameter smaller than the fine ~~particle~~ particles or thin films.

In the present invention, the ultra-fine particles or the thin films are made of any one of polymeric material, inorganic material, metallic material, alloy material and carbon material. For example, the carbon material, such as fullerene, nanotube, diamond and

activate carbon can be used.

In the present invention, the fine particle is made of ceramics and the ultra-fine particles or the thin films are made of any one of catalytic substance, 5 electrochemical catalytic substance, optical functional substance, magnetic functional substance and electric and electronic functional substance. For example, the optical functional substance includes cosmetics and paint.

10 And, for example, when an  $\text{Al}_2\text{O}_3$  particle is coated with Pt or Pd, the coated particle can have the same catalytic performance and electrode performance as Pt bulk or Pd bulk.

And, in a coated fine particle according to the 15 present invention, the fine particle is made of any one of polymeric material, organic material, metallic material, inorganic material and carbon material.

In addition, in the present invention, the fine particle preferably has a grain diameter of  $5\mu\text{m}$  or less. 20 The fine particle having a grain diameter of  $5\mu\text{m}$  or more is also used in the present invention.

And, for example, when a polymeric particle is coated with Pt, the polymeric particle can be provided with conducting property. Thus, such a coated particle 25 is applicable to a conductive spacer used in a liquid crystal display panel.

A method of producing ~~method of~~ a micro capsule

according to the present invention comprises a step for containing fine particles in a vacuum container having a polygonal internal shape on a cross section substantially parallel with a gravitational direction, a  
5 step for performing sputtering while stirring or rolling the fine particles contained in the vacuum container by rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, whereby ~~surface~~surfaces of the fine ~~particle is~~  
10 ~~particles are~~ coated with ultra-fine particles having a grain diameter smaller than the fine ~~particles~~particle or thin films, and a step for removing the fine particle, which is a base of the coated fine ~~particles~~particle, from the coated fine particle.

15 In this invention, the ultra-fine ~~particle~~particles may be removed from the coated fine ~~particle~~particles by dissolving or evaporating.

A producing method of a micro capsule according to the present invention comprises a step for containing  
20 fine particles in a vacuum container having a polygonal internal shape on a cross section, a step for performing sputtering while stirring or rolling the fine particles contained in the vacuum container by rotating the vacuum container about a rotating axis, which is substantially  
25 perpendicular to the cross section, and also vibrating the fine particles, whereby ~~surface~~surfaces of the fine ~~particles are~~particle is coated with ultra-fine

particles having a grain diameter smaller than the fine  
~~particles~~~~particle~~ or thin films, and a step for removing  
the fine ~~particles~~~~particle~~, which is a base of the  
coated fine ~~particles~~~~particle~~, from the coated fine  
5 ~~particles~~~~particle~~.

A producing method of a micro capsule according to  
the present invention comprises a step for containing  
fine particles in a vacuum container having a polygonal  
internal shape on a cross section, a step for performing  
10 sputtering while heating the vacuum container and also  
stirring or rolling the fine particles contained in the  
vacuum container by rotating the vacuum container about  
a rotating axis, which is substantially perpendicular to  
the cross section, whereby ~~surface~~~~surfaces~~ of the fine  
15 ~~particles~~ ~~are~~~~particle~~ ~~is~~ coated with ultra-fine  
particles having a grain diameter smaller than the fine  
~~particles~~~~particle~~ or thin films, and a step for removing  
the fine ~~particles~~~~particle~~, which is a base of the  
coated fine ~~particles~~~~particle~~, from the coated fine  
20 ~~particles~~~~particle~~.

A micro capsule according to the present invention  
is made such that fine particles are contained in a  
vacuum container having a polygonal internal shape on a  
cross section, and sputtering is performed while  
25 stirring or rolling the fine particles contained in the  
vacuum container by rotating the vacuum container about  
a rotating axis, which is substantially perpendicular to



the cross section, whereby ~~surface~~surfaces of the fine  
particles ~~are~~particle is coated with ultra-fine  
particles having a grain diameter smaller than the fine  
particles~~particle~~ or thin films and then the fine  
5 particles~~particle~~, which is a base of the coated fine  
particles~~particle~~, is removed from the coated fine  
particles~~particle~~.

A micro capsule according to the present invention  
is made such that fine particles are contained in a  
10 vacuum container having a polygonal internal shape on a  
cross section, and sputtering is performed while  
stirring or rolling the fine particles contained in the  
vacuum container by rotating the vacuum container about  
a rotating axis, which is substantially perpendicular to  
15 the cross section, and also vibrating the fine  
particles, whereby ~~surface~~surfaces of the fine particles  
~~are~~particle is coated with ultra-fine particles having a  
grain diameter smaller than the fine particles~~particle~~  
or thin films and then the fine particles~~particle~~, which  
20 is a base the coated fine particles~~particle~~, is removed  
from the coated fine particles~~particle~~.

A micro capsule according to the present invention  
is made such that fine particles are contained in a  
vacuum container having a polygonal internal shape on a  
25 cross section, and sputtering is performed while heating  
the vacuum container and also stirring or rolling the  
fine particles contained in the vacuum container by

rotating the vacuum container about a rotating axis, which is substantially perpendicular to the cross section, whereby ~~surface-surfaces~~ of the fine particles ~~are~~particle is coated with ultra-fine particles having a grain diameter smaller than the fine particles~~particle~~ or thin films and then the fine particles~~particle~~, which is a base of the coated fine particles~~particle~~, is removed from the coated fine particles~~particle~~.

As described above, according to the present invention, a polygonal barrel sputtering device and a polygonal barrel sputtering method in which treatment of waste fluid like a plating method is not required and damage to the environment is small, ~~a~~coated fine particles~~particle~~ formed by the device and the method, a micro capsule and a method for producing the micro capsule can be provided.

#### Brief Description of the Drawings

Fig.1 is a drawing schematically showing a structure of a polygonal barrel sputtering device according to one embodiment of the present invention.

Fig.2A is a photograph showing fine particles (powder sample) before performing sputtering and coated fine particles after performing sputtering and Fig.2B is an optical microscopic photograph showing fine particles (powder sample) before performing sputtering and coated fine particles after performing sputtering.

Fig.3A is a SEM photograph (500 magnifications) showing  $\text{Al}_2\text{O}_3$  fine particles coated with Pt and Fig.3B is an element mapping of Al obtained by the analysis using EDS and Fig.3C is an element mapping of Pt obtained by  
5 the analysis using EDS.

Fig.4A is a SEM photograph (5000 magnifications) showing  $\text{Al}_2\text{O}_3$  fine particles coated with Pt and Fig.4B is an element mapping of Al obtained by the analysis using EDS and Fig.4C is an element mapping of Pt obtained by  
10 the analysis using EDS.

Fig.5A is a drawing showing a current-voltage curve of  $\text{Al}_2\text{O}_3$  fine particles coated with Pt in 1N  $\text{H}_2\text{SO}_4$  and Fig.5B is a drawing showing a current-voltage curve of  
15  $1\phi$  Pt disk electrode in 1N  $\text{H}_2\text{SO}_4$ .

#### Detailed Description of ~~Embodiment of~~ the Invention

Hereinafter, the preferred embodiments of the present invention will be described in detail with reference to the accompanying drawings.

20 Fig.1 is a drawing schematically showing a structure of a polygonal barrel sputtering device according to one embodiment of the present invention. The polygonal barrel sputtering device is a device for coating surfaces of fine particles (powder) with ultra-  
25 fine particles having a grain diameter smaller than the fine particles or thin films.

The polygonal barrel sputtering device is equipped

with a vacuum container 1 for coating fine particles (powder sample) 3 with ultra-fine particles or thin films. The vacuum container 1 is provided with a cylindrical body 1a having a diameter of 200mm and a barrel (hexagonal barrel) 1b having a hexagonal cross section arranged in the cylindrical body 1a. Here, the cross section is substantially parallel with a gravitational direction. In this embodiment, the cross sectional shape of the barrel 1b is a hexagon; however, the cross sectional shape may be not only a hexagon but also another ~~polygonal~~polygon.

The vacuum container 1 is equipped with a rotating mechanism (not shown) for rotating the hexagonal barrel 1b in a direction shown by an arrow in Fig.1 so that a process for coating the fine particles ~~are~~is performed while rolling or stirring the fine particles (powder sample) 3 contained in the hexagonal barrel 1b. The rotating mechanism rotates the hexagonal barrel 1b about a rotating axis parallel with a ~~substantial~~substantially horizontal direction (a direction perpendicular to a gravitational direction). In the vacuum container 1, a sputtering target 2 made of Pt is arranged on a central axis of the cylindrical body 1a. Since the ~~sputtering~~sputtering target 2 is arranged so as to ~~very~~correspondingcorrespond to any angle, when the coating treatment is performed while rotating the hexagonal barrel 1b, the target 2 can ~~be faced to~~face the powder

sample 3. This allows improvement in sputtering yield. This embodiment uses Pt as a target; however, ~~another other~~ materials (for example, Pd and Ni) can coat the fine particles. In such a case, a target made of the  
5 coated material with the fine particle is used.

The vacuum container 1 is connected to one end of a pipe 4 and the other end of the pipe 4 is connected to one port of a first valve 12. And, the other port of the first valve 12 is connected to one end of a pipe 5. The  
10 other end of the pipe 5 is connected to an inlet port of a turbo molecular pump (TMP) 10. An outlet port of the turbo molecular pump 10 is connected to one end of a pipe 6 and the other end of the pipe 6 is connected to one port of a second valve 13. The other port of the  
15 second valve 13 is connected to one end of a pipe 7, and the other end of the pipe 7 is connected to a pump (RP) 11. And, the pipe 4 is connected to one end of a pipe 8, and the other end of the pipe 8 is connected to one port of a third valve 14. The other port of the third valve  
20 14 is connected to one end of a pipe 9, and the other end of the pipe 9 is connected to the pipe 7.

The device according to the present invention has a heater 17 for heating the powder sample 3 in the vacuum container 1. The device further has a vibrator 18 for  
25 vibrating the powder sample 3 in the vacuum container 1. In addition, the device is provided with a pressure gauge 19 for measuring a pressure in the vacuum

container 1. And, the device is provided with a nitrogen gas supplying unit 15 for introducing nitrogen gas into the vacuum container 1 and an argon gas supplying unit 16 for introducing argon gas into the vacuum container

5 1. And, the device is further provided with a high frequency wave applying unit (not shown) for applying high frequency between the target 2 and the hexagonal barrel 1b.

Next, a polygonal barrel sputtering method for

10 coating the fine particles 3 with ultra-fine particles or thin films using the polygonal barrel sputtering device will be explained.

Initially, powder sample 3 of about 6g was introduced into the hexagonal barrel 1b. As the powder

15 sample 3,  $\alpha$ - $\text{Al}_2\text{O}_3$  (manufactured by Nilaco. Co. Ltd., four nines) having a size of 120 mesh was used. And, as the target 2, Pt was used. This embodiment used the  $\text{Al}_2\text{O}_3$  powder but was not limited thereto. So, powder other than the  $\text{Al}_2\text{O}_3$  powder could be used. The polygonal

20 barrel sputtering method according to the present invention makes it possible to coat various types of powder with ultra-fine particles or thin films.

Then, by using the turbo molecular pump 10, a high vacuum region in the hexagonal barrel 1b was formed and

25 then pressure inside the hexagonal barrel was decreased down to  $5 \times 10^{-4}$  Pa while heating the hexagonal barrel up to 200°C using the heater 17. Then, from the argon gas

supplying unit 16 or the nitrogen gas supplying unit 15, inert gas such as argon and nitrogen was introduced into the hexagonal barrel 1b. In this case, pressure in the hexagonal barrel 1b was about 2Pa. If necessary, mixed  
5 gas of oxygen and hydrogen might be introduced into the hexagonal barrel 1b. And, the hexagonal barrel 1b was rotated for 30 minutes at 20rpm by the rotating mechanism using 100W so as to roll and stir the powder sample 3 in the hexagonal barrel 1b. During the  
10 rotation, the target ~~was being faced to~~ a position in which the powder sample existed. Then, a high frequency wave was applied between the target 2 and the hexagonal barrel 1b using the high frequency applying unit, whereby surfaces of the powder samples 3 were coated  
15 with Pt by sputtering. This process allowed the surfaces of the powder sample 3 to be coated with ultra-fine particles or thin films.

According to this embodiment, rotating the hexagonal barrel allows the powder itself to be rolled  
20 and stirred. In addition, since the barrel has a hexagonal shape, the powder contained therein can periodically fall by gravity. This can improve stirring efficiency remarkably and therefore prevent aggregation of the fine particles owing to moisture and  
25 electrostatic force, in which such aggregation often leads to a problem in handling fine particles. In other words, stirring the fine particles and decomposing the

aggregated fine particles will be performed simultaneously. Accordingly, it becomes possible that a fine particle having a very small grain diameter is coated with ultra-fine particles having a grain diameter smaller than the fine particle or thin films. In particular, it becomes possible that a fine particle having a grain diameter of 5 $\mu$ m or less is coated with ultra-fine particles or thin films.

Furthermore, in this embodiment of the present invention, the vacuum container 1 has, at the outer surface thereof, the heater 17 capable of heating the hexagonal barrel 1b up to 200°C. Thus, when the vacuum container 1 is evaporated, the hexagonal barrel 1b is heated using the heater 17 so that moisture in the hexagonal barrel can be vaporized and discharged. Therefore, since moisture, which leads to a problem in handling such powders, can be removed from the inside of the hexagonal barrel, aggregation of the powder will be effectively prevented~~effectively~~.

In this embodiment, the vacuum container 1 also has, at the outer surface thereof, the vibrator 18 capable of vibrating the powder 3 contained in the hexagonal barrel. Therefore, aggregation of the powder, which leads to a problem in handling such powders, will be effectively prevented~~effectively~~.

In addition, in this embodiment, since the surface of the powder sample 3 is coated with fine particles



using the polygonal barrel sputtering method, treatment of waste fluid like a plating method is not required and therefore the environment is not damaged.

In addition, this embodiment uses the vibrator 18  
5 for vibrating the powder 3 in the hexagonal barrel. However, ~~in exchange for~~ instead of the vibrator 18 or in addition to the vibrator 18, the powder sample 3 can be vibrated in such a manner that the hexagonal barrel containing a rod-like member therein is rotated. This  
10 allows preventing problematic aggregation of the powder.

Next, microscopic observation of the modified samples (coated fine particles), in which surface of  $Al_2O_3$  powder is coated with ultra-fine Pt particles using the polygonal barrel sputtering method, and  
15 electrochemical behavior thereof will be explained.

Fig.2A is a photograph showing fine particles (powder sample) before performing sputtering and coated fine particles after performing sputtering.

Fig.2A shows that  $Al_2O_3$  powder before performing  
20 sputtering shows a white and translucent color, whereas coated  $Al_2O_3$  powder after performing sputtering shows a metallic luster. And, since white particles are not observed in the coated powder after sputtering, all of the fine particles are expected to be uniformly coated  
25 with Pt.

Fig.2B is an optical microscopic photograph showing fine particles (powder sample) before performing

sputtering and coated fine particles after performing sputtering.

Fig.2B ~~show~~shows that both of the fine particles ~~show~~have a lustrous plane and edge. Fine particles before performing sputtering, shown in the left of Fig.2B, show a translucent color whereas fine particles after performing sputtering, shown in the right of Fig.2B, show a metallic color on the entire surfaces thereof. In addition, the fine particles after performing sputtering entirely ~~show~~have a uniform metallic color and therefore seem to be coated with uniform films over the surface of the powder (surfaces of the fine particles).

In order to examine the feature of Pt with which the powder is coated, the surface of the coated powder is examined by a scanning electron microscope (SEM, 500 magnifications). The observation results are shown in Figs.3.

Fig.3A is a SEM photograph (500 magnifications) showing  $\text{Al}_2\text{O}_3$  fine particles coated with Pt. Fig.3A shows that the fine particles have a square pole shape formed of flat planes and sharp edges and the cross section thereof is partially not flat.

The  $\text{Al}_2\text{O}_3$  fine particles coated with Pt are elementary-analyzed using EDS. The analysis is shown in Figs.3B and 3C. Fig.3B is an element mapping of Al obtained by the analysis using EDS and Fig.3C is an

element mapping of Pt obtained by the analysis using EDS. In Figs.3B and 3C, the surface concentration of each element is represented by color depth of blue and white.

5        Fig.3B shows that Al elements are uniformly distributed over the particle. It is supposed that the reason that Al concentration becomes low at the side surface of the particle is due to incline of the side surface. On the contrary, as shown in Fig.3C, Pt is  
10 uniformly detected all over the allentire particle, although its concentration is not as high as that of Al.

In addition, in order to examine the feature of Pt with which the surfaces of the particles are coated in detail, the surface of a sample specimen is observed  
15 with a larger magnification (5000 magnifications). The observation results are shown in Figs.4.

Fig.4A is a SEM photograph (5000 magnifications) showing  $\text{Al}_2\text{O}_3$  fine particles coated with Pt. In Fig.4A, the left side with higher contrast shows the surface of  
20 the particles. The photograph shows that the surfaces of the particles are significantly flat. In addition, the photograph shows that several fine particles having a grain diameter of  $1\mu\text{m}$  or less exist on the surfaces of the particles. The reason will be described later.

25        Elementary analysis was performed using EDS on the  $\text{Al}_2\text{O}_3$  fine particles coated with Pt. The analysis is shown in Figs.4B and 4C. Fig.4B is an element mapping of

Al obtained from the analysis using EDS and Fig.4C is an element mapping of Pt obtained from the analysis using EDS.

Fig.4B shows that Al elements are distributed  
5 uniformly along the surfaces of the particles. Fig.4C shows that Pt elements are distributed on the surfaces of the particles although concentration thereof is lower compared with Al. And, it shows that the particles are coated with Pt elements to the tip of edge portions  
10 thereof.

In view of the results, it is found that Pt elements modifying the surfaces of the particles coat the entire surfaces of the particles like film but unlike island shaped spots. And, as a result of  
15 elemental analysis on the fine particles which exist on the surfaces of the particles like spots, such fine particles are not either Al or Pt and seem to be debris mixed during sample preparation. The same result is obtained from another prepared particles. So, it can be  
20 said that all of the particles are coated with uniform Pt films.

Next, in order to examine electrochemical behavior of the Pt film, cyclic voltammetry CV was measured. CV was measured using a three-pole electrode comprising a  
25 Pt line as a counter electrode and a saturated calomel electrode as a reference electrode in 1N H<sub>2</sub>SO<sub>4</sub>. An operative electrode was formed as described below.

Initially, powder prepared by a sputtering method was placed on a carbon felt and then a filter paper was overlapped thereon. And, the overlapped carbon felt and the filter paper and the counter electrode were sandwiched in between acrylic plates and a carbon sheet as a terminal was also sandwiched in therebetween at the tip of the carbon felt. After one end of the carbon sheet was contacted to  $\text{H}_2\text{SO}_4$ , CV was measured. For a potentiometer, Model 1263A manufactured by SEIKO EG&G Instruments was used. A potential scanning rate was 20mV/sec, and a primary potential was applied to an open-circuit potential and varied in -240mV to 1200mV.

The CV measurements result is shown in Fig.5A. Fig.5A is a drawing showing a current-voltage curve of  $\text{Al}_2\text{O}_3$  fine particles coated with Pt in 1N  $\text{H}_2\text{SO}_4$ . As a reference, CV of 1 $\phi$  Pt disk electrode in the same solution is also shown in Fig.5B.

In both cases, peaks owing to hydrogen adsorption and desorption are observed near -50mV vs SCE and -150mV vs SCE. And, increasing of cathode current owing to generation of hydrogen is observed near -250mV. Furthermore, rising of anode current owing to generation of oxygen is observed near +1100mV and a peak based on reduction of PtO generated on the surfaces of Pt is observed near +500mV. From the results, it is found that the prepared Pt film with which  $\text{Al}_2\text{O}_3$  fine particles are coated has the same electrochemical behavior as bulk Pt.

As described above, using the polygonal barrel sputtering device allows forming uniform Pt films on the surfaces of  $\text{Al}_2\text{O}_3$  fine particles. And, since the Pt film has the same electrochemical behavior as bulk Pt, the polygonal barrel sputtering device is highly applicable to a surface coating method (a surface modifying method) of inorganic particles, polymeric particles and ionic crystal.

Next, a micro capsule according to the present invention will be explained. Such micro capsule is available for ~~drug~~ delivery as ~~medicines~~ medicine.

Using a polygonal barrel sputtering device shown in Fig.1, surface of a fine particle is coated with ultra-fine particles having a grain diameter smaller than the fine particle or thin films according to the polygonal barrel sputtering method. In this case, for a material of the ultra-fine particle or the thin film, material suitable for the micro capsule is used.

Then, the fine particle, which is a base of the coated fine particle, is removed from the coated fine particle by dissolving or vaporization. This makes it possible to empty inside of the ultra-fine particles or the thin films with which the fine particle is coated. Accordingly, a micro capsule made of the ultra-fine particles or the thin films will be prepared.

The present invention is not be considered limited to what is shown in the drawings and described in the

specification, and it will be ~~appropriated~~-appreciated that various changes can be made therein without departing from the scope of the invention. For example, a film forming condition for coating fine particles with  
5 thin films may be appropriately changed. And, it is possible that polymeric material, inorganic material, metallic material, alloy material and carbon material are used as a material of the ultra-fine particles or the thin films. And, when the fine particles are made of  
10 ceramics, it is possible that catalytic substance, electrochemical catalytic substance, optical functional substance (including cosmetics and paint), magnetic functional substance and electric and electronic functional substance are used as a material of the  
15 ultra-fine particles or the thin films. And, it is possible that polymeric material, organic material, metallic material, inorganic material and carbon material are used as a material of the fine particles.

## ABSTRACT

The present invention relates to a polygonal barrel sputtering device and a polygonal barrel sputtering method for coating surfaces of fine particles with  
5 ultra-fine particles having a grain diameter smaller than the particles or thin films, a coated fine particle formed by the device and method, a micro capsule and a method for producing the micro capsule. The polygonal barrel sputtering method according to the present  
10 invention comprises a step for containing fine particles ~~3~~—in a vacuum container ~~1~~—having a polygonal internal shape on a cross section, which is substantially parallel with a gravitational direction, and a step for performing sputtering while stirring or rolling the fine  
15 particles ~~3~~—contained in the vacuum container ~~1~~—by rotating the vacuum container ~~1~~—about a rotating axis, which is substantially perpendicular to the cross section, whereby surface of the fine particle ~~3~~—is coated with ultra-fine particles having a grain diameter  
20 smaller than the fine particle or thin films.